



Optum Idaho
Network Audit Tools Update
 (November 1, 2019)

Tool Name	Question	Previous Content	New Content
Case Management Record Tool	N/A		
Adult: Skills Building Treatment Record Tool (was - Community Based Rehabilitation Services (CBRS) Treatment Record)Review Tool	N/A		
CCC Record Tool			New Tool
Youth: Skills Building Record Tool (was –Youth: Community Based Rehabilitation Services (CBRS) Treatment Record Review Tool)	1	The member has significant difficulty gaining and utilizing skills necessary to function adaptively in home and community settings, and attain or retain capability for independence such as skills related to the following areas: vocational/educational, financial, social relationships/support, family, basic living skills, housing, community/legal, or health/medical.	The member has significant difficulty gaining and utilizing skills necessary to function adaptively in home and community settings, and attain or retain capability for independence such as skills related to the following areas: vocational/educational, social relationships/support, family, basic living skills, or community/legal.
	3	The child or adolescent functional assessment appropriate to the member's age and level of functioning was completed at the time of the initial assessment.	For members under the age of 19, there was evidence that the CANS was completed and or updated and was utilized to identify the Member specific functional need(s) to be addressed in the Skills building/CBRS treatment plan.
	4	If the provider makes a determination that they cannot serve the member, the provider makes appropriate referrals to other agencies to meet the member's identified needs.	Deleted
	5	The diagnostic assessment defines the member's readiness for rehabilitation.	Deleted
	6	The diagnostic assessment defines activities needed to improve the member's readiness for rehabilitation, such as motivational enhancement or learning activities.	The diagnostic assessment defines the level of the member's motivation in establishing the readiness to engage in skills building activities.

7	The diagnostic assessment defines the member's overall rehabilitation goal.	The diagnostic assessment defines the member's overall skills building/CBRS goal.
8	The diagnostic assessment defines the member's present level of skills or knowledge relative to the rehabilitation goal.	The diagnostic assessment defines the member's present level of skills or knowledge relative to the skills building/CBRS goal.
9	The diagnostic assessment defines the member's present resources.	The diagnostic assessment defines the skills and knowledge the member needs to achieve the skills building/CBRS goal.
10	The diagnostic assessment defines the resources the members needs to achieve the rehabilitation goal.	The diagnostic assessment defines the resources the member needs to achieve the skills building/CBRS goal.
11	The evaluation of resources includes whether the member has a primary care physician.	Deleted
New 11	New Question	There is evidence in the record that the treatment plan was in the record within 10 days of the first Skills Building/CBRS treatment appointment.
12	The evaluation of resources includes whether the member has had a history and physical examination within the past 12 months.	Deleted
14	Within 10 calendar days of accessing services, a rehabilitation plan will be developed in conjunction with the member/member's representative and the interdisciplinary team.	Deleted
14	New Question	For members under the age of 19, there is evidence that the CANS results were used in the identification of functional needs and strengths for developing the skills building/CBRS plan.
15	The rehabilitation plan contains observable and measurable objectives aimed at assisting the member achieve his/her rehabilitation goal.	The rehabilitation plan contains observable and measurable objectives aimed at assisting the member achieve his/her skills building/CBRS goal.
16	The rehabilitation plan contains specific interventions for each objectives.	The skills building/CBRS plan contains specific interventions for each objectives.
17	The rehabilitation plan identifies who is responsible for providing the intervention.	The skills building/CBRS plan identifies who is responsible for providing the intervention.
18		The skills building/CBRS plan identifies the amount, frequency, and expected duration of services.

	New 19	New Question	For a child whose parent or legal guardian does not participate in the services, the provider must document all efforts made to involve the parent or legal guardian and must make appropriate adjustments to the rehabilitation plan to address the lack of involvement.
	19		The skills building/CBRS plan contains the member/member representatives signature as an attestation that the member/member representative agrees with and participated in the development of the rehabilitation plan.
	20	The provider and the member/member's representative shall conduct an intermittent rehabilitation plan review to incorporate progress, different goals, or change in service focus. If the member/member's representative are not part of the review, the reason for that is clearly documented.	The provider and the member/member's parent or legal guardian shall conduct an intermittent skills building/CBRS plan review to incorporate progress, different goals, or change in service focus.
	21	The rehabilitation plan shall be reviewed and updated at least every ninety (90) days.	The skills building/CBRS plan shall be reviewed and updated at least every ninety (90) days.
	22	If the member has not been engaged in services, the provider shall assist the member/member's representative with re- evaluating the member's readiness for rehabilitation as well as the steps the member/member's representative wants to take to engage in services.	If the member has not been engaged in services, the provider shall assist the member/member's representative with re- evaluating the member's readiness for skills building/CBRS as well as the steps the member/member's representative wants to take to engage in services.
	23	If the member has not benefitted from services, the provider shall assist the member/member's representative with determining whether the rehabilitation plan should be modified or whether the member could benefit from other services.	If the member has not benefitted from services, the provider shall assist the member/member's representative with determining whether the skills building/CBRS plan should be modified or whether the member could benefit from other services.
	24	The review must include a reassessment of the member's continued need for services.	The review must include an evaluation of change's in the member's functional needs and strengths.
	29	New Question	There is evidence in the record of teaming between the responsible licensed clinician, the paraprofessional, the member, and family to develop the skills building/CBRS treatment plan.
Clinician Site Tool	N/A		
Peer Support Record Review Tool	N/A		
Peer Support Site Tool	N/A		
Family Support Record Review Tool	N/A		

Family Support Site Tool	N/A		
Respite Care Record Tool	N/A		New Tool
YES Program Review Tool	N/A		New Tool
Treatment Record Tool	23	New Question	For members under the age of 19, there is evidence that the Child and Adolescent Needs and Strengths (CANS) assessment was completed and or updated, and was utilized to identify the member specific functional need(s).
	26	When an active substance issue is identified, the Global Appraisal of Individual Needs-I (GAIN-I) is completed.	When an active substance issue is identified, the ASAM 6 Dimension Assessment and placement determination (as appropriate) is in the record, and was completed by an individual specifically trained to complete this assessment.
	43	The documentation in the treatment record identifies functional deficits the member is experiencing, and how they will be addressed in the treatment services.	The documentation in the treatment record identifies functional needs the member is experiencing, and how they will be addressed in the treatment services.
	52	A specific plan is in place that is geared towards the individual member's needs.	A specific service plan is in place that is geared towards the individual member's needs and strengths.
	62	The identified interventions in the treatment plan are appropriate for the member based on their individual needs.	The identified interventions in the treatment plan are appropriate for the member based on their individual needs and strengths.
	75	The progress notes describe/list member strengths and limitations and how those impact treatment.	The progress notes describe/list member needs and strengths and how those impact treatment.